

Stay future-proof as Compliance Officer in a changing regulatory landscape

GOALS

In recent times, new evolutions & technologies have been impacting the financial sector and the regulatory landscape has been evolving alongside. Expectations and legislation emerged in domains that currently fall outside of the perimeter of the Compliance domains sensu stricto, but have important links with and impacts on these domains. We'll explore how compliance connects with efforts to make businesses more sustainable, like SFDR, taxonomy, and CSRD and we'll also look at rules for digital markets, such as DORA, the AI ACT, and FIDA. These changes affect how compliance teams are organized, including who's on the team and what skills they need. This means cross border working together with other teams.

The objectives of this training are:

- to provide insight into the current views, practices, and expectations regarding the role of the compliance function in various domains, including sustainability, regulation of digital markets (such as DORA, the AI ACT, FIDA, etc.);
- to examine how the above-mentioned evolutions impact the organization of the compliance function, particularly in terms of the composition of the compliance function, including required knowledge and skills, the relationship of the compliance function with 1 & 2 line departments);
- ...

In summary, this training aims to provide participants with a thorough understanding of the impact of new regulations and technological developments on the compliance function and how this function can be optimized within organizations to meet these changes.

SUMMARY

Category:

- Compliance & audit

Difficulty level:

Advanced

Certification type:

In class training

Price:

- Member: € 330.00
- Non member: € 360.00
- Partner BZB: € 330.00
- Incompany: custom tailored, prices on demand

CPD hours:

- Bank: **4h** general
- Insurances: **4h** general
- Consumer loans: **4h** general
- Mortgages: **4h** general
- Compliance: **4h**

INTENDED AUDIENCE

This training course can be followed by multiple target groups:

- professionals in finance and banking;
- lawyers and legal advisors involved in providing counsel on compliance matters and ensuring legal compliance within organizations;
- consultants offering advisory services related to compliance management and regulatory compliance;
- any individual or professional seeking to enhance their understanding of compliance principles and practices, regardless of their specific role or industry sector;
- ...

FOREKNOWLEDGE

Advanced level training: this training requires a general basic knowledge of the subject.

CONTENT

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- Introduction
- Part I. The Compliance Function & new regulatory frameworks
 - The first part of this training aims to provide insight on what are the current views, practices, and expectations regarding the role of the Compliance Function in the following domains:
 - Sustainability & SFDR, Taxonomy and CSRD
 - Digital markets regulations (including the DORA, the AI ACT, FIDA, etc.)
- Part II. Impact on the Compliance Function & its place in the organization
 - Secondly, this training will look at how the above evolutions impact the organization of the Compliance Function as such, in terms of:
 - The composition of the Compliance Function, knowledge & skills
 - The Compliance Risk Assessment and the Compliance Action Plan & Compliance Monitoring Plan
 - The relationship of the Compliance Function with 1st Line of Defense- departments
 - The relationship of the Compliance Function with other 2nd Line of Defense- department, risk management in particular
- Conclusions

PRACTICAL INFORMATION

- **Duration:** ½ day of training (4 class hours)
- **Hours:** 09:00 to 13:00
- **Location:** Febelfin Academy: Phoenix building, Koning Albert II-laan/Boulevard du Roi Albert II 19, 1210 Brussels
- **Language:** This training will be given in English

METHODOLOGY

You follow a ‘**Classroom training**’ in a group. You, the other participants and the teacher are all present in the same classroom at an agreed time. There is an opportunity for interaction and feedback, both from the participants to the teacher and vice versa. The teaching material consists as a basis of a presentation via the MyFA learning platform, supplemented with various other items (such as digital syllabus, presentation, audiovisual fragments, etc.).

Training material: PowerPoint presentation (slides).